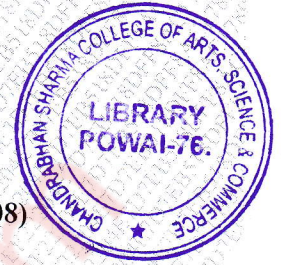


Time: 2 ½ Hours

Marks: 75

- N.B. 1) All question are compulsory.  
2) Figures to the right indicate marks.



**Q.1 A State whether the statements are True or False. (Any 8) (08)**

1. Delisting of shares refers to the Permanent removal of the share of a company from being listed on a stock exchange
2. Preference share represents same degree of ownership in a company but usually come with the same voting right
3. A foreign portfolio investor is an entity established or incorporated outside India that propose to make investment in India
4. Porter's 5 forces model developed by Dr. Michael Porter in 1980
5. The selection of data collection method depends on nature of the research problem.
6. A company may declare final dividend during the financial year
7. Contingent liabilities are that liabilities which maybe incurred by an entity depending on the outcome of an uncertain future event
8. Casual research refers to descriptive information about certain aspects of problem
9. Bonus share is also known as equity dividend
10. Pilot study is conducted on a larger segment of the research universe.

**Q.1.B.Match the following. (Any 07) (07)**

**GROUP A**

1. Qualitative Research.
2. Off balance sheet items
3. Hard underwriting
4. Depositories
5. Right issue
6. Depository Participant
7. Merger and acquisition
8. Technical analysis
9. Macro economics
10. Political factor

**GROUP B**

- a) Study of nation as a whole
- b) Legislation and policy
- c) Solves behavioral Sciences
- d) Operating lease
- e) Commitment at initial stage of IPO
- f) CDSL
- g) Issue to existing share holder
- h) Agent of depositories
- i) Change in ownership structure
- j) Price Trend

**Q.2 A What is research? State the objectives of research. (8)**  
**B Write a note on Features of a good research design. (7)**

**OR**

**P Elaborate on Advantages and disadvantages of secondary research (8)**  
**Q State the Importance of research in financial market (7)**

Q.3 A Mention the different steps of equity research (8)

B Enumerate the Qualities of research analyst (7)

OR

P Itemize the various Market participants of market (8)

Q What are the Responsibilities of research analyst (7)

Q.4 A State the Key points to be considered before investing (8)

B Writes a note on Fundamental analysis (7)

OR

P Intricate the Principles of macroeconomics (8)

Q Elaborate on PESTEL analysis (7)

Q.5 A Explains the Management quality and its governance system of a business (8)

B Distinguishes between History of business and future of business (7)

OR

Q.5 Write a short note on the following (Any 3) (15)

A Descriptive research

B Technical analysis

C SWOT analysis of a company

D Compliance orientation

E BCG analysis

---